

In re Patent Application of

Atty Dkt. 1579-601

C# M#

HAYNES, Barton F.

Group Art Unit: 1644

Serial No. 09/956,940

Examiner: Schwadron, R.

Filed: September 21, 2003

Date: December 12, 2003

Title: USE OF SYNTHETIC PEPTIDES TO INDUCE TOLERANCE TO PATHOGENIC T
AND B CELL EPITOPES OF AUTOANTIGENS OR INFECTIOUS AGENTSCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

This is a response/amendment/letter in the above-identified application and includes an attachment which is hereby incorporated by reference and the signature below serves as the signature to the attachment in the absence of any other signature thereon.

☐ **Correspondence Address Indication Form Attached.****Fees are attached as calculated below:**

Total effective claims after amendment 0 minus highest number
previously paid for 20 (at least 20) = 0 x \$ 18.00 \$ 0.00

Independent claims after amendment 0 minus highest number
previously paid for 3 (at least 3) = 0 x \$ 86.00 \$ 0.00

If proper multiple dependent claims now added for first time, add \$290.00 (ignore improper) \$ 0.00

Petition is hereby made to extend the current due date so as to cover the filing date of this
paper and attachment(s) (\$110.00/1 month; \$420.00/2 months; \$950.00/3 months) \$ 0.00

Terminal disclaimer enclosed, add \$ 110.00 \$ 0.00

☐ First/second submission after Final Rejection pursuant to 37 CFR 1.129(a) (\$770.00) \$ 0.00

☐ Please enter the previously unentered, filed

☐ Submission attached

Subtotal \$ 0.00

If "small entity," then enter half (1/2) of subtotal and subtract -\$ 0.00

☐ Applicant claims "small entity" status. ☐ Statement filed herewith

Rule 56 Information Disclosure Statement Filing Fee (\$180.00) \$ 180.00

Assignment Recording Fee (\$40.00) \$ 0.00

Other: 0.00

TOTAL FEE TO BE DEBITED \$ 180.00

The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.

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MJW:tatNIXON & VANDERHYE P.C.
By Atty: Mary J. Wilson, Reg. No. 32,955Signature: Mary J. Wilson



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of
HAYNES, Barton F.

Confirmation No. 4369

Atty. Ref.: 1579-601

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Group: 1644

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For: USE OF SYNTHETIC PEPTIDES TO INDUCE
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EPITOPES OF AUTOANTIGENS OR INFECTIOUS
AGENTS

* * * * *

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

December 12, 2003

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

- ☒ 1. **PTO-1449 Pursuant to 37 CFR 1.97(b)**
[within 3 months of filing or prior to 1st Office Action on the merits]
N/C
- ☐ 2.(a) **Statement Pursuant to 37 CFR 1.97(c)**
[before Final Office Action or Allowance (requires Rule 97(e)
Statement or Rule 17(p) fee)]
N/C
- ☐ 2.(b) **Fee Payment Pursuant to 37 CFR 1.97(c)**
[before Final Office Action or Allowance (requires Rule 97(e)
Statement or Rule 17(p) fee)]
\$180.00
- ☐ 3. **Pursuant to 37 CFR 1.97(d)**
[after Final Office Action or Allowance (requires Rule 97(e)
Statement and Rule 17(p) fee), but before final fee payment]
\$180.00

The following are submitted in the above-identified application in compliance with
37 C.F.R. §§ 1.97 and 1.98:

December 12, 2003

- ☒ 4. A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document (such as a Search Report) is enclosed herewith.

This paper is submitted in accordance with:

- ☐ 5. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☒ 6. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ a) The required Statement made in item 8 below; or
- ☒ b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 9 below.
- ☐ 7. 37 CFR §1.97(d): [after Final Office Action or Allowance (requires Rule 97(e) Statement and Rule 17(p) fee), but before final fee payment]; and
- ☐ a) The fee (\$180.00) required by 37 CFR §1.17(p) is submitted herewith; and
- ☐ b) The required Statement is stated in item 8 below.
- ☐ 8. Statement under 37 CFR 1.97(e)
- ☐ a) The undersigned attorney of record hereby certifies under 37 C.F.R. §1.97(e) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement (each item contained in this IDS was the first citation of that item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to the filing of this IDS); or
- ☐ b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

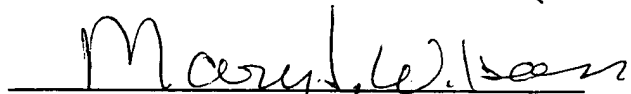
* Except for Hu et al (Nature 1986), Fox and Butini et al – as noted on the PTO-1449 Form (page 3), copies can be found in the identified application.

HAYNES, Barton F.
Serial No. 09/956,940
December 12, 2003

- ☒ 9. Please charge all deficiency fees associated with the submission of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed.

Respectfully submitted,
NIXON & VANDERHYE P.C.

By:



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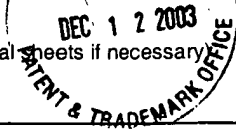
FILING DATE

GROUP

September 21, 2001

1644

(Use several sheets if necessary)



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,812,556	3/1989	Vahline et al			
	4,659,678	4/1987	Forrest et al			
	4,520,113	5/1985	Gallo et al			
	4,956,273	9/1990	Kennedy et al			
	4,725,669	2/1988	Essex et al			

FOREIGN PATENT DOCUMENTS

DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
0 255 190	2/1988	EPO			
WO 87/02775	5/1987	WIPO			
WO 91/04051	4/1991	WIPO			
WO 88/05783	8/1988	WIPO			
0 273 716	7/1988	EPO			
WO 91/04273	4/1991	WIPO			

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Form PTO-FB-A820 (Also PTO-1449)

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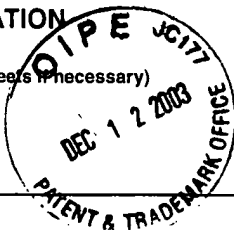
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Atty. Docket No.

Serial No.

1579-601

09/956,940

Applicant

HAYNES, Barton F.

Filing Date

Group

Septemb r 21, 2001

1644

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DOCUMENT			DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
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		WO 94/26785 ✓	11/1994	WIPO				
		WO 94/28929 ✓	12/1994	WIPO				
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		WO 98/01564 ✓	1/1998	WIPO				
		WO 01/56355 ✓	8/2001	WIPO				
		WO 95/29700 ✓	11/1995	WIPO				
		WO 93/15750 ✓	8/1993	WIPO				

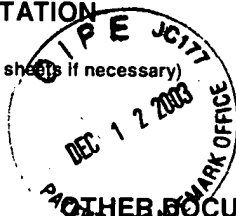
*Examiner		Date Considered	
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Sheet 9 of 9

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1579-601

09/956,940

APPLICANT

HAYNES, Barton F.

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U.S. PATENT DOCUMENTS

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DOCUMENT NUMBER

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FILING DATE
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FOREIGN PATENT DOCUMENTS

ENGLISH ABSTRACT

DOCUMENT

DATE

COUNTRY

CLASS

SUBCLASS

YES

NO

✓	WO 97/14436	4/1997	WIPO				
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